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FORM PTO-1449 U.S. DEPT. OF COMMERCE
(MODIFIED) PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
53087-5006SERIAL NO.
09/841,731INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)

(37 C.F.R. § 1.98(b))

APPLICANT
Gunter, et al.FILING DATE
April 25, 2001

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
RB	A	6	2	1	6	1	1	6	04/10/01	Barkan, et al.	705	76	
	B	5	6	5	9	6	1	6	08/19/97	Sudia	380	23	
	C	5	9	0	3	8	8	2	05/11/99	Asay et al.	705	44	
	D	5	9	4	3	4	2	3	08/24/99	Muftic	380	25	
	E	5	9	7	8	4	8	4	11/02/99	Apperson et al.	380	25	
	F	5	9	6	0	0	8	5	09/28/99	De la Hueraga	380	25	
	G	6	0	0	3	0	1	4	12/14/99	Lee et al.	705	13	
	H	5	9	0	1	2	8	4	05/04/99	Hamdy-Swink	395	186	
	I	5	8	7	2	8	4	8	02/16/99	Romney et al.	380	25	
RB	J	5	7	8	4	4	6	3	07/21/98	Chen et al.	380	21	
	K	5	7	6	1	3	0	9	06/02/98	Ohashi et al.	380	25	

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER							PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

RB	L	Blaze, et al., "THE KEYNOTE TRUST-MANAGEMENT SYSTEM VERSION 2", Internet Society, September 1999, pp. 1-32.
	M	Gunter, et al., "POLICY-DIRECTED CERTIFICATE RETRIEVAL", Software-Practice & Experience, September 21, 2000, Vol. 30, pp. 1609-1640.
RB	N	Gunter, et al., "GENERALIZED CERTIFICATE REVOCATION", ACM Symposium On Principles Of Programming Languages, January 2000, pp. 1-14.

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<i>[Signature]</i>	O	5	7	5	7	9	2	0	05/26/98	Misra et al.	380	25	
	P	5	7	5	4	6	5	4	05/19/98	Hiroya et al.	380	24	
	Q	5	6	9	4	4	7	1	12/02/97	Chen et al.	380	25	
	R	5	4	1	2	7	1	7	05/02/95	Fischer	380	4	
	S	5	3	3	9	4	0	3	08/16/94	Parker	395	425	
	T	5	2	6	1	0	0	2	11/09/93	Perlman et al.	380	30	
	U	5	3	1	5	6	5	7	05/24/94	Abadi et al.	380	25	
	V	5	2	1	4	7	0	2	05/25/93	Fischer	380	30	
	W	4	8	6	8	8	7	7	09/19/89	Fischer	380	25	
	X	4	8	1	6	6	5	5	03/28/89	Musyck et al.	235	380	
	Y	5	6	1	5	2	6	8	03/25/97	Bisbee et al.	380	25	

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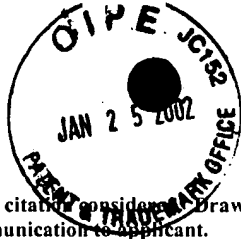
		DOCUMENT NUMBER							PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

<i>[Signature]</i>	Z	Kakkar, et al., "CERTIFICATE DISTRIBUTION WITH LOCAL AUTONOMY", International Workshop on Active Networks, October 2000, pp. 1-19.
	AA	Blaze, et al., "DECENTRALIZED TRUST MANAGEMENT", Proc. IEEE Conference on Security and Privacy, May 1996, pp. 1-23
<i>[Signature]</i>	BB	Herzberg, et al., "ACCESS CONTROL MEETS PUBLIC KEY INFRASTRUCTURE, OR: ASSIGNING ROLES TO STRANGERS", pp. 1-13.

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EXAMINER R INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>[Signature]</i>	CC	5	5	4	2	0	4	6	07/30/96	Carlson et al.	395	186	
<i>[Signature]</i>	DD	5	4	7	5	7	5	8	12/12/95	Kikuchi	380	25	
<i>[Signature]</i>	EE	5	4	5	5	9	5	3	10/03/95	Russell	395	739	
<i>[Signature]</i>	FF	5	4	1	2	7	2	7	05/02/95	Drexler et al.	380	24	
<i>[Signature]</i>	GG	6	1	3	8	2	3	5	10/24/00	Lipkin, et al.	713	155	

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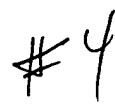
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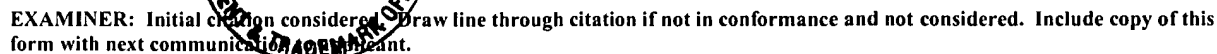
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

<i>[Signature]</i>	HH	Rivest, et al., "SDSI - A SIMPLE DISTRIBUTED SECURITY INFRASTRUCTURE", April 30, 1996, pp. 1-37.
<i>[Signature]</i>	II	C. Ellison, "SPKI REQUIREMENTS", The Internet Society, September 1999, pp. 1-14.
<i>[Signature]</i>	JJ	Ellison, et al., "SPKI CERTIFICATE THEORY", The Internet Society, September 1999, pp. 1-43.

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053087-5006

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<i>MB</i>	A	6	2	1	2	6	3	4	04/03/01	Greer, Jr et al			
	B	5	5	3	0	2	3	5	06/25/96	Stefik et al.			
	C	6	4	4	6	2	5	3	09/03/02	Mellmer			
	D	5	5	8	3	9	9	3	12/10/96	Foster et al.			
	E	6	3	9	3	5	6	5	05/21/02	Lockhart et al.			
	F	6	4	3	8	6	0	0	08/20/02	Greenfield et al.			
	G	5	5	7	7	1	2	0	11/19/96	Penzias			
	H	5	2	2	0	6	0	4	06/15/93	Gasser et al.			
	I	6	2	8	2	1	8	3	08/28/01	Harris et al.			
	J	0	0	0	7	3	1	7	01/17/02	Callagahn et al.			
	K	0	1	2	8	9	0	3	09/12/02	Kernahan			
<i>MB</i>	L	0	0	3	2	6	2	6	03/14/02	DeWolf et al.			

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<i>MB</i>	M	Tuomas Aura, "Distributed Access-Rights Management With Delegation Certificates", Secure Internet Programming (LNCS), 1999 Springer-Verlag, pp. 1-25.

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